IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michiaki SHINOTSUKA

Serial No. : not yet assigned

Filed : concurrently herewith

For : PHASE-CHANGE OPTICAL INFORMATION RECORDING

MEDIUM, AND INFORMATION RECORDING AND READING

METHOD USING THE RECORDING MEDIUM

PRELIMINARY AMENDMENT

1185 Avenue of the Americas New York, N.Y. 10036 September 29, 2003

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450

S I R:

Please amend the above-identified continuation application, which is being filed concurrently herewith, as follows:

Amendments to the Specification are set forth on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 4 of this paper.